

# Rule Cross-Reference Chart: North Carolina State Lottery Commission

## Rules Manual for Sports Wagering and Pari-Mutuel Wagering



In order to conform with the rule codification system of the North Carolina Administrative Code, the North Carolina State Lottery Commission has readopted its Rules Manual with a new numbering system. Please use the cross-reference chart below to transition to the new rule numbers. The Commission will accept reference to either rule number for approximately one year. Licensees must fully transition to the new numbering system by the time they file their first Annual Attestation of Compliance.

The North Carolina State Lottery Commission Rules Manual for Sports Wagering and Pari-Mutuel Wagering will be posted on the Commission’s website at <https://ncgaming.gov/>. Additionally, the Commission’s rules will be published under Title 24, Chapter 6 of the [North Carolina Administrative Code](#). The Commission’s rules will include a reference to the previously adopted rule number in the history note.

Revised Citation <sup>1</sup>	Previous Citation <sup>2</sup>	Title
<b>Title 24</b>	-	
<b>Chapter 6</b>	-	
<b>Subchapter A</b>	<b>Chapter 1</b>	<b>General Wagering</b>
<b>Section .0100</b>	<b>Subchapter A</b>	<b>General Provisions</b>
24 NCAC 06A.0101	Rule 1A-001	Definitions
24 NCAC 06A.0102	Rule 1A-002	Scope and Application of Rules
24 NCAC 06A.0103	Rule 1A-003	Authorization
24 NCAC 06A.0104	Rule 1A-004	Severability of Provisions
24 NCAC 06A.0105	Rule 1A-005	Reservation of Authority
24 NCAC 06A.0106	Rule 1A-006	Assignment of Duties and Responsibilities to the Director
24 NCAC 06A.0107	Rule 1A-007	Executive Orders
24 NCAC 06A.0108	Rule 1A-008	Technical Manuals and Bulletins Published by the Director
24 NCAC 06A.0109	Rule 1A-009	Request for Variance or Waiver of Requirement
24 NCAC 06A.0110	Rule 1A-010	Effective Date of Rules Manual
24 NCAC 06A.0111	Rule 1A-011	Commission Location and Office Hours
24 NCAC 06A.0112	Rule 1A-012	Method of Payment
24 NCAC 06A.0113	Rule 1A-013	Confidential Materials
24 NCAC 06A.0114	Rule 1A-014	Address Requirements
<b>Section .0200</b>	<b>Subchapter B</b>	<b>Licensing Provisions</b>
24 NCAC 06A .0201	Rule 1B-001	Burden on Applicant
24 NCAC 06A .0202	Rule 1B-002	Opportunity to Participate in Wagering Industry as Revocable Privilege
24 NCAC 06A .0203	Rule 1B-003	Consultation Before Submission of Application
24 NCAC 06A .0204	Rule 1B-004	Electronic Form of Applications; Copies of Application and Supporting Materials

<sup>1</sup> This column contains the revised rule citations that conform with the rule numbering convention of the North Carolina Administrative Code. The North Carolina State Lottery Commission re-adopted its rules with these revised citations on March 27, 2024. These rule citations may be used by licensees starting March 27, 2024.

<sup>2</sup> This column contains the rule citations previously adopted by the Commission on November 16, 2023, and December 13, 2023. These rule citations may be used by licensees until submission of their first annual attestation of compliance.

24 NCAC 06A .0205	Rule 1B-005	All Applications are Sworn; Duty to Update or Amend During Pendency of Review
24 NCAC 06A .0206	Rule 1B-006	Timeliness
24 NCAC 06A .0207	Rule 1B-007	Consideration of Late Applications
24 NCAC 06A .0208	Rule 1B-008	Authorization to Review
24 NCAC 06A .0209	Rule 1B-009	Administrative Sufficiency Review
24 NCAC 06A .0210	Rule 1B-010	Consent to Background Investigation Required; Recent Criminal History Check
24 NCAC 06A .0211	Rule 1B-011	Duty to Update Application; Process to Amend
24 NCAC 06A .0212	Rule 1B-012	Supplemental Information and Cooperation
24 NCAC 06A .0213	Rule 1B-013	Approval or Denial of License Application; Authority
24 NCAC 06A .0214	Rule 1B-014	Reapplication for Licensure After Withdrawal, Denial, or Revocation
24 NCAC 06A .0215	Rule 1B-015	Withdrawal of Application
24 NCAC 06A .0216	Rule 1B-016	Authority to Retain and Utilize Contract Investigators
24 NCAC 06A .0217	Rule 1B-017	Review and Issue Licenses
24 NCAC 06A .0218	Rule 1B-018	Conditional Licensure
24 NCAC 06A .0219	Rule 1B-019	Reconsideration on Denial of a License
24 NCAC 06A .0220	Rule 1B-020	Duty to Maintain Suitability
24 NCAC 06A .0221	Rule 1B-021	Attestation of Compliance
24 NCAC 06A .0222	Rule 1B-022	Annual Review of Licensed Operators
24 NCAC 06A .0223	Rule 1B-023	License Period; Revocation or Suspension
24 NCAC 06A .0224	Rule 1B-024	Renewal Application
24 NCAC 06A .0225	Rule 1B-025	Denial of Renewal
24 NCAC 06A .0226	Rule 1B-026	Public Record
24 NCAC 06A .0227	Rule 1B-027	Duty to Report
24 NCAC 06A .0228	Rule 1B-028	Notification to Director Regarding Certain Events
24 NCAC 06A .0229	Rule 1B-029	License Assignment or Transfer
24 NCAC 06A .0230	Rule 1B-030	Termination of License
24 NCAC 06A .0231	Rule 1B-031	Closing of a Responsible Party's Operation; Dissolution
24 NCAC 06A .0232	Rule 1B-032	License Display
<b>Section .0300</b>	<b>Subchapter C</b>	<b>Disciplinary Actions and Hearings</b>
24 NCAC 06A .0301	Rule 1C-001	Grounds for Disciplinary Actions
24 NCAC 06A .0302	Rule 1C-002	Informal Resolution
24 NCAC 06A .0303	Rule 1C-003	Initiation of Disciplinary Hearing; Notice of Hearing
24 NCAC 06A .0304	Rule 1C-004	Method of Service
24 NCAC 06A .0305	Rule 1C-005	License Surrender
24 NCAC 06A .0306	Rule 1C-006	Representation of Parties
24 NCAC 06A .0307	Rule 1C-007	Practice Before the Commission
24 NCAC 06A .0308	Rule 1C-008	Ex Parte Communication
24 NCAC 06A .0309	Rule 1C-009	Motions
24 NCAC 06A .0310	Rule 1C-010	Postponement of Hearing
24 NCAC 06A .0311	Rule 1C-011	Witness and Exhibit Lists
24 NCAC 06A .0312	Rule 1C-012	Burden of Proof
24 NCAC 06A .0313	Rule 1C-013	Evidence
24 NCAC 06A .0314	Rule 1C-014	Depositions
24 NCAC 06A .0315	Rule 1C-015	Prehearing Conference and Stipulations
24 NCAC 06A .0316	Rule 1C-016	Official Notice
24 NCAC 06A .0317	Rule 1C-017	Discovery
24 NCAC 06A .0318	Rule 1C-018	Affidavits
24 NCAC 06A .0319	Rule 1C-019	Protection of Trade Secrets; Confidential Information
24 NCAC 06A .0320	Rule 1C-020	Designation of Hearing Officer
24 NCAC 06A .0321	Rule 1C-021	Disqualification of Commission Member of Hearing Officer
24 NCAC 06A .0322	Rule 1C-022	Hearing Officer Responsibilities

24 NCAC 06A .0323	Rule 1C-023	Consolidation
24 NCAC 06A .0324	Rule 1C-024	Severance
24 NCAC 06A .0325	Rule 1C-025	Conduct of a Hearing
24 NCAC 06A .0326	Rule 1C-026	Venue of a Hearing
24 NCAC 06A .0327	Rule 1C-027	Failure to Appear
24 NCAC 06A .0328	Rule 1C-028	Examination of Witnesses
24 NCAC 06A .0329	Rule 1C-029	Hearing Officer Recommendation
24 NCAC 06A .0330	Rule 1C-030	Sports Betting Committee Decision
24 NCAC 06A .0331	Rule 1C-031	Appeal to the Commission
24 NCAC 06A .0332	Rule 1C-032	Judicial Review
24 NCAC 06A .0333	Rule 1C-033	Official Record
24 NCAC 06A .0334	Rule 1C-034	Request for Transcript of Hearing
24 NCAC 06A .0335	Rule 1C-035	Summary Suspension
<b>Section .0400</b>	<b>Subchapter D</b>	<b>Audit and Internal Control Standards</b>
24 NCAC 06A .0401	Rule 1D-001	Internal Controls
24 NCAC 06A .0402	Rule 1D-002	Revisions to Internal Controls
24 NCAC 06A .0403	Rule 1D-003	Emergency Revision to Internal Controls
24 NCAC 06A .0404	Rule 1D-004	Operator Remains Ultimately Responsible for Internal Controls
24 NCAC 06A .0405	Rule 1D-005	Administrative and Accounting Procedures
24 NCAC 06A .0406	Rule 1D-006	Jobs Compendium
24 NCAC 06A .0407	Rule 1D-007	Information Security Responsibilities
24 NCAC 06A .0408	Rule 1D-008	Information Processing
24 NCAC 06A .0409	Rule 1D-009	Reporting Requirements in the Event of a Cyber Incident
24 NCAC 06A .0410	Rule 1D-010	Accounting Records
24 NCAC 06A .0411	Rule 1D-011	Financial Audits
24 NCAC 06A .0412	Rule 1D-012	Retention, Storage, and Destruction Records
24 NCAC 06A .0413	Rule 1D-013	Reserve Requirement
24 NCAC 06A .0414	Rule 1D-014	Risk Management Framework
24 NCAC 06A .0415	Rule 1D-015	Taxation Requirements
24 NCAC 06A .0416	Rule 1D-016	Bank Secrecy Act Compliance
24 NCAC 06A .0417	Rule 1D-017	Anti-Money Laundering Monitoring
24 NCAC 06A .0418	Rule 1D-018	Integrity Monitoring and Suspicious Behavior
24 NCAC 06A .0419	Rule 1D-019	Data Security
24 NCAC 06A .0420	Rule 1D-020	Personal Information Security
24 NCAC 06A .0421	Rule 1D-021	Disputes Pertaining to Wagering
24 NCAC 06A .0422	Rule 1D-022	Prohibition of Credit Extension
24 NCAC 06A .0423	Rule 1D-023	Ineligible Persons
24 NCAC 06A .0424	Rule 1D-024	Reporting Requirements Related to Ineligible Persons
24 NCAC 06A .0425	Rule 1D-025	Wagering Reports of Operators
24 NCAC 06A .0426	Rule 1D-026	Access to Wagering Data and Records by Commission or the Department of Revenue
24 NCAC 06A .0427	Rule 1D-027	Independent Audit of Internal Controls
<b>Section .0500</b>	<b>Subchapter E</b>	<b>Standards for Wagering</b>
24 NCAC 06A .0501	Rule 1E-001	Wagering Rules
24 NCAC 06A .0502	Rule 1E-002	Acceptance of Wagers
24 NCAC 06A .0503	Rule 1E-003	Promotional or Bonus Wagering
<b>Section .0600</b>	<b>Subchapter F</b>	<b>Responsible Gaming</b>
24 NCAC 06A .0601	Rule 1F-001	Responsible Gaming Plan
24 NCAC 06A .0602	Rule 1F-002	Voluntary Exclusion Program
24 NCAC 06A .0603	Rule 1F-003	Eligibility and Petition for Removal from Voluntary Exclusion Program Before Completion of Exclusion Period
24 NCAC 06A .0604	Rule 1F-004	Involuntary Exclusion
24 NCAC 06A .0605	Rule 1F-005	Commission Maintenance of Exclusion List
24 NCAC 06A .0606	Rule 1F-006	Operator Duties

24 NCAC 06A .0607	Rule 1F-007	Limitations on Use of Excluded Person's Data and Personal Information
24 NCAC 06A .0608	Rule 1F-008	Wagering Facilities and Excluded Persons
<b>Section .0700</b>	<b>Subchapter G</b>	<b>Wagering Accounts</b>
24 NCAC 06A .0701	Rule 1G-001	Wagering Account Requirements
24 NCAC 06A .0702	Rule 1G-002	Age and Identity Verification
24 NCAC 06A .0703	Rule 1G-003	Limitation to One Account Per Player
24 NCAC 06A .0704	Rule 1G-004	Terms and Conditions and Privacy Policies for Wagering Accounts
24 NCAC 06A .0705	Rule 1G-005	Responsible Gaming and Problem Gambling Information
24 NCAC 06A .0706	Rule 1G-006	Account Access
24 NCAC 06A .0707	Rule 1G-007	Financial Transactions
24 NCAC 06A .0708	Rule 1G-008	Account Deposits
24 NCAC 06A .0709	Rule 1G-009	Failed Electronic Funds Transfers
24 NCAC 06A .0710	Rule 1G-010	Account Withdrawals
24 NCAC 06A .0711	Rule 1G-011	Account Adjustments
24 NCAC 06A .0712	Rule 1G-012	Account Information
24 NCAC 06A .0713	Rule 1G-013	Responsible Gaming Limits
24 NCAC 06A .0714	Rule 1G-014	Suspension and Restoration of Wagering Accounts
24 NCAC 06A .0715	Rule 1G-015	Account Closure
24 NCAC 06A .0716	Rule 1G-016	Dormant Accounts
24 NCAC 06A .0717	Rule 1G-017	Test Accounts
<b>Section .0800</b>	<b>Subchapter H</b>	<b>Wagering Facilities</b>
24 NCAC 06A .0801	Rule 1H-001	Wagering Facility Location
24 NCAC 06A .0802	Rule 1H-002	Floor Plan
24 NCAC 06A .0803	Rule 1H-003	Wagering Lounges
24 NCAC 06A .0804	Rule 1H-004	Responsible Gaming and Problem Gambling Information
24 NCAC 06A .0805	Rule 1H-005	Anonymous Wagers and Payouts Greater Than \$10,000
24 NCAC 06A .0806	Rule 1H-006	Wagering Windows
24 NCAC 06A .0807	Rule 1H-007	Wrong Ticket Claims
24 NCAC 06A .0808	Rule 1H-008	Acceptance of Tips
24 NCAC 06A .0809	Rule 1H-009	Wagering Kiosks
24 NCAC 06A .0810	Rule 1H-010	Drop and Count
24 NCAC 06A .0811	Rule 1H-011	Winning Wagers and Vouchers
24 NCAC 06A .0812	Rule 1H-012	Cashiers, Windows, and Cages
24 NCAC 06A .0813	Rule 1H-013	Surveillance System and Procedures
24 NCAC 06A .0814	Rule 1H-014	Facility Security Procedures
24 NCAC 06A .0815	Rule 1H-015	Controlled Keys
<b>Section .0900</b>	<b>Subchapter I</b>	<b>Advertising and Marketing</b>
24 NCAC 06A .0901	Rule 1I-001	Advertising and Marketing Generally
24 NCAC 06A .0902	Rule 1I-002	Advertising to Underage Persons Prohibited
24 NCAC 06A .0903	Rule 1I-003	No Direct Advertising to Voluntarily Excluded Persons
24 NCAC 06A .0904	Rule 1I-004	Disclosure of Operator; Operator Ultimately Responsible
24 NCAC 06A .0905	Rule 1I-005	Information to Promote Responsible Gaming
24 NCAC 06A .0906	Rule 1I-006	Limitation on Representations about Winnings
24 NCAC 06A .0907	Rule 1I-007	False or Misleading Advertising
24 NCAC 06A .0908	Rule 1I-008	Opt-Out from Direct Marketing
24 NCAC 06A .0909	Rule 1I-009	Retention of Advertising Materials
<b>Subchapter B</b>	<b>Chapter 2</b>	<b>Sports Wagering</b>
<b>Section .0100</b>	<b>Subchapter A</b>	<b>General Provisions</b>
24 NCAC 06B .0101	Rule 2A-001	Definitions
24 NCAC 06B .0102	Rule 2A-002	Incorporation by Reference
<b>Section .0200</b>	<b>Subchapter B</b>	<b>Licensing Provisions</b>
24 NCAC 06B .0201	Rule 2B-001	Sports Wagering Operator Licensing

24 NCAC 06B .0202	Rule 2B-002	Service Provider Licensing
24 NCAC 06B .0203	Rule 2B-003	Supplier Licensing
24 NCAC 06B .0204	Rule 2B-004	Provisional Supplier License
24 NCAC 06B .0205	Rule 2B-005	Payment of License Application Fee; Expenses for Denied License Application
24 NCAC 06B .0206	Rule 2B-006	Written Designation Agreement
24 NCAC 06B .0207	Rule 2B-007	Written Designation Agreement Breach, Discontinuance, or Other Cessation
24 NCAC 06B .0208	Rule 2B-008	Duties of the Operator and Service Providers
<b>Section .0300</b>	<b>Subchapter C</b>	<b>Standards for Sports Wagering</b>
24 NCAC 06B .0301	Rule 2C-001	Authorized and Prohibited Sporting Events and Wager Types
24 NCAC 06B .0302	Rule 2C-002	Petition for a Category of Sporting Event or Wager Type
24 NCAC 06B .0303	Rule 2C-003	Limitations on Certain Sports Wagering for Good Cause
24 NCAC 06B .0304	Rule 2C-004	Data Sources for Sports Wagering
24 NCAC 06B .0305	Rule 2C-005	Cooperation with Investigations; Confidentiality of Certain Reported Information
24 NCAC 06B .0306	Rule 2C-006	Contents of Wagering Rules
24 NCAC 06B .0307	Rule 2C-007	Canceled or Voided Wagers
24 NCAC 06B .0308	Rule 2C-008	Tournaments, Contests, and Pools
24 NCAC 06B .0309	Rule 2C-009	Managing Risk
24 NCAC 06B .0310	Rule 2C-010	Layoff Wagers
24 NCAC 06B .0311	Rule 2C-011	Exchange Wagering and Other Peer-to-Peer Wagering
24 NCAC 06B .0312	Rule 2C-012	Daily Summary
<b>Section .0400</b>	<b>Subchapter D</b>	<b>Technical Requirements and Oversight</b>
24 NCAC 06B .0401	Rule 2D-001	Sports Wagering Standards
24 NCAC 06B .0402	Rule 2D-002	Testing, Certification, and Approval of Sports Wagering System
24 NCAC 06B .0403	Rule 2D-003	Integration Requirements
24 NCAC 06B .0404	Rule 2D-004	Change Management Processes
24 NCAC 06B .0405	Rule 2D-005	Geolocation Requirements
24 NCAC 06B .0406	Rule 2D-006	Records of Sports Wagers
24 NCAC 06B .0407	Rule 2D-007	Location of Servers, Security, and Cloud Storage
24 NCAC 06B .0408	Rule 2D-008	Integrity and Security Assessments
24 NCAC 06B .0409	Rule 2D-009	Quarterly Vulnerability Scans